## **TECHNICAL TABLE A-11**

## Companies that required an analyst action, by sampling stratum: 2017

(Number)

| Stratum                | Total | Companies<br>deleted <sup>a</sup> | Companies requiring action due to merger or acquisition <sup>b</sup> | Companies requiring action due to analyst review <sup>c</sup> |
|------------------------|-------|-----------------------------------|--|---|
| Total                  | 2,970 | 2,819                             | 131  | 20  |
| Known positive<br>R&D  | 720   | 640                               | 69   | 11  |
| Certainties            | 511   | 441                               | 61   | 9   |
| Noncertainties         | 209   | 199                               | 8  | 2   |
| Known zero R&D         | 111   | 107                               | 4  | 0   |
| Certainties            | 71    | 68                                | 3  | 0   |
| Noncertainties         | 40    | 39                                | 1  | 0   |
| Unknown R&D            | 2,125 | 2,061                             | 55   | 9   |
| Certainties            | 380   | 365                               | 10   | 5   |
| Noncertainties         | 1,745 | 1,696                             | 45   | 4   |
| Cases not in the frame | 14    | 11                                | 3  | 0   |

<sup>&</sup>lt;sup>a</sup> Companies are deleted when they are found to be out of business or out of scope for the survey during the reporting period.

## Note(s)

Companies were said to be known to conduct R&D (known positive R&D) if they reported positive R&D in any of the previous 5 survey years. Companies were said to have known zero R&D if they reported zero R&D in at least 1 of the previous 3 survey years and no positive R&D in any of the 5 years. Companies were said to be unknown if no R&D information was available.

## Source(s)

National Center for Science and Engineering Statistics and U.S. Census Bureau, Business Research and Development Survey, 2017.

<sup>&</sup>lt;sup>b</sup> Information regarding mergers and acquisitions comes from several sources. The information is collected on the form, and there is a presurvey phone call that requests the information from top R&D-performing companies. Information may also come from the company profiles that are maintained by survey staff.

<sup>&</sup>lt;sup>c</sup> These companies are added based on a review of historic R&D reported to the Business Research and Development Survey and on public information available on the companies' R&D expenses.